

On discrete Brunn-Minkowski type inequalities

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(joint work with E. Lucas and J. Yepes Nicolás)

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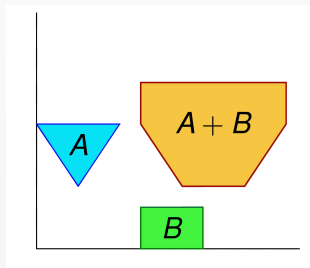
Pamplona, January 2024

Congreso Bienal de la RSME

Ingredients in Brunn-Minkowski's inequality

- The **Minkowski addition** $A + B$ of two sets $A, B \subset \mathbb{R}^n$ is

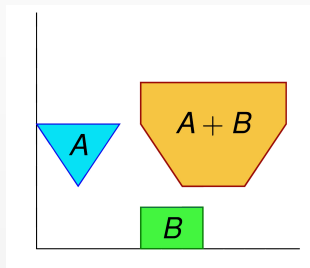
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- $\text{vol}(K)$ = volume (Lebesgue measure) of $K \subset \mathbb{R}^n$.

The Brunn-Minkowski inequality

Relating the volume with the Minkowski addition of compact sets (not necessarily convex), one is led to the famous Brunn-Minkowski inequality:

Brunn-Minkowski's inequality

Let $K, L \subset \mathbb{R}^n$ be non-empty compact sets. Then

$$\text{vol}(K + L)^{1/n} \geq \text{vol}(K)^{1/n} + \text{vol}(L)^{1/n}.$$

If $\text{vol}(K)\text{vol}(L) > 0$ then equality holds if and only if K and L are homothetic **convex bodies**.

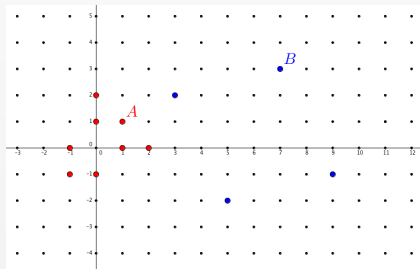
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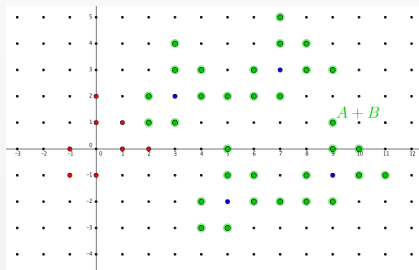
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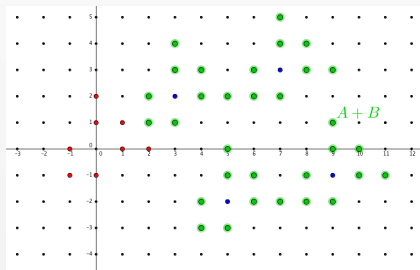
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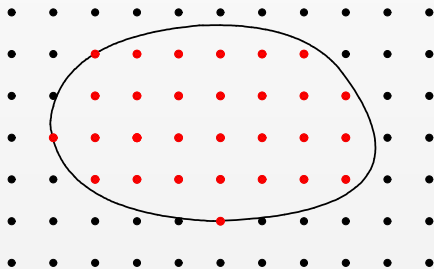
- We consider **finite subsets** $A, B \subset \mathbb{Z}^n$ of **integer points** (with the Minkowski addition), being now our measure the **cardinality** $|\cdot|$.



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Next we move it to the discrete setting, which can be carried out from two points of view:

- We consider **finite subsets** $A, B \subset \mathbb{Z}^n$ of **integer points** (with the Minkowski addition), being now our measure the **cardinality** $|\cdot|$.
- Or we work with $K, L \subset \mathbb{R}^n$ **convex bodies** and our way of measuring will be the **lattice point enumerator** $G_n(\cdot) = |\cdot \cap \mathbb{Z}^n|$.



Is there a classical discrete B-M inequality for $|\cdot|$?

Does a discrete Brunn-Minkowski inequality exist in the classical form for the cardinality? Namely, is it true that

$$|A + B|^{1/n} \geq |A|^{1/n} + |B|^{1/n}$$

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NO! (in general)

For $A = \{0\}$ and any $B \subset \mathbb{Z}^n$ (finite),

$$|A + B|^{1/n} = |B|^{1/n} < 1 + |B|^{1/n} = |A|^{1/n} + |B|^{1/n}.$$

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Therefore, a discrete Brunn-Minkowski type inequality should,

- either have a **different structure**,
- or involve **modifications of the sets**.

A discrete B-M inequality by Gardner-Gronchi

Gardner&Gronchi, 2001. A discrete analogue of $\text{vol}(K+L) \geq \text{vol}(B_K+B_L)$:

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If $A, B \subset \mathbb{Z}^n$ are finite sets with $\dim B = n$, then

$$|A + B| \geq |D_{|A|}^B + D_{|B|}^B|.$$

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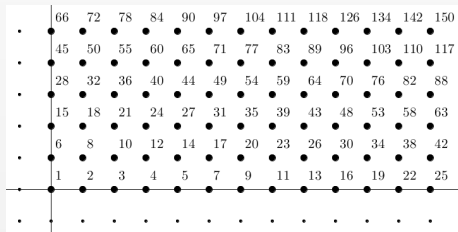
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- $D_{|A|}^B = B\text{-initial segment}$ associated to A : for $m \in \mathbb{N}$, D_m^B is the set of the first m points of $\mathbb{Z}_{\geq 0}^n$ in the “ B -order”.



$$|B| = 6$$

A discrete B-M inequality by Gardner-Gronchi

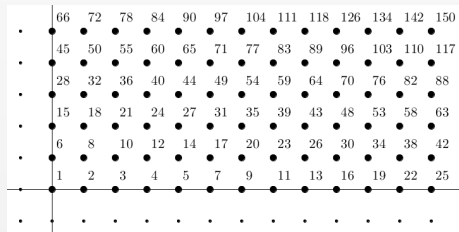
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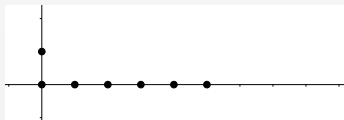
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$|B| = 6$ and $m = 7$



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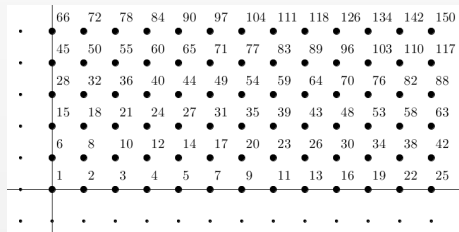
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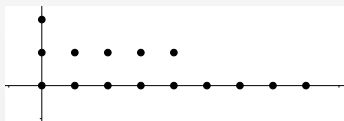
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$|B| = 6$ and $m = 15$



A discrete B-M inequality extending one of the sets

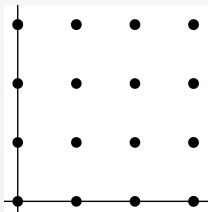
An alternative to get a “classical” B-M inequality might be to transform (one of) the sets involved in the problem, **adding extra points**.

H.C., Iglesias, Yepes Nicolás, 2018

Let $A, B \subset \mathbb{Z}^n$ be finite, $A, B \neq \emptyset$. Then

$$|\bar{A} + B|^{1/n} \geq |A|^{1/n} + |B|^{1/n},$$

where \bar{A} is a suitably defined extension of A (not depending on B).
Equality holds when A and B are **lattice cubes**.

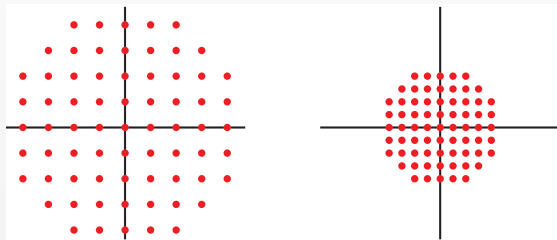


Lattice cube: $C_r = [0, r]^n \cap \mathbb{Z}^n$.

What about a convex combination?

If $A, B \subset \mathbb{R}^n$ are non-empty **finite** sets, then

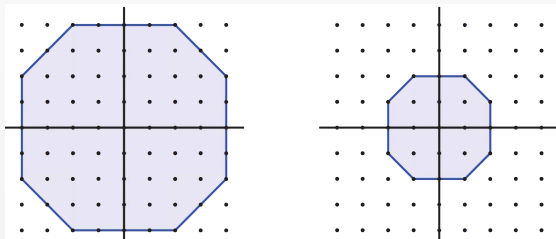
$$|(1 - \lambda)A + \lambda B| \geq \left((1 - \lambda)|A|^{1/n} + \lambda|B|^{1/n} \right)^n.$$



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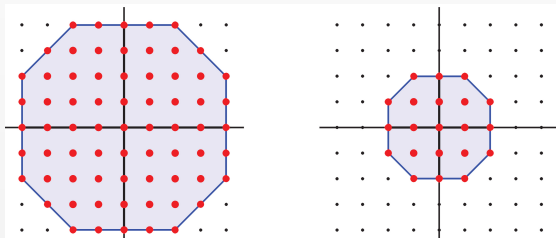


- $K, L \subset \mathbb{R}^n$ convex bodies.

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- $K, L \subset \mathbb{R}^n$ convex bodies.
- $G_n(\cdot)$, the **lattice point enumerator**

Is there a classical discrete B-M inequality for $G_n(\cdot)$?

Does a discrete Brunn-Minkowski inequality exist in the classical form for the lattice point enumerator? Namely, is it true that

$$G_n((1 - \lambda)K + \lambda L)^{1/n} \geq (1 - \lambda)G_n(K)^{1/n} + \lambda G_n(L)^{1/n} ?$$

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NO! For $K = \{0\}$ and $L = [0, m]^n$, with $m \in \mathbb{N}$ odd, and $\lambda = 1/2$.

$$G_n\left(\frac{1}{2}K + \frac{1}{2}L\right)^{1/n} = \frac{m+1}{2} < \frac{m+2}{2} = \frac{1}{2}G_n(K)^{1/n} + \frac{1}{2}G_n(L)^{1/n}.$$

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Question

Given convex bodies $K, L \subset \mathbb{R}^n$, what is the “best” way to define a set M such that $(1-\lambda)K + \lambda L \subset M$ and

$$G_n(M)^{1/n} \geq (1-\lambda)G_n(K)^{1/n} + \lambda G_n(L)^{1/n}$$

holds for all $\lambda \in (0, 1)$?

Discrete B-M inequalities for $G_n(\cdot)$

Iglesias, Yepes Nicolás, Zvavitch, 2020

Let $K, L \subset \mathbb{R}^n$ be non-empty **bounded sets** and let $\lambda \in (0, 1)$. Then

$$G_n((1 - \lambda)K + \lambda L + (-1, 1)^n)^{1/n} \geq (1 - \lambda)G_n(K)^{1/n} + \lambda G_n(L)^{1/n}.$$

The inequality is sharp.

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Halikias, Klartag, Slomka, 2020

For $K, L \subset \mathbb{R}^n$ (non-empty) bounded sets one has

$$G_n\left(\frac{K+L}{2} + (-1, 0]^n\right) G_n\left(\frac{K+L}{2} + [0, 1)^n\right) \geq G_n(K)G_n(L).$$

Moving to the L_p -setting

The p -addition for $p \geq 1$

- The Minkowski sum of two convex bodies $K, L \subset \mathbb{R}^n$ can be defined via their support functions: $h_{K+L}(u) = h_K(u) + h_L(u)$.

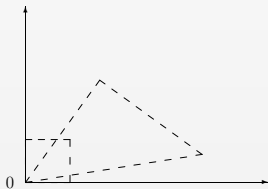
The support function

$$h_K(u) = \max\{\langle x, u \rangle : x \in K\}, \quad u \in \mathbb{S}^{n-1}$$

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- This was extended by **Firey, 1962**: Let $p \geq 1$ and $K, L \subset \mathbb{R}^n$ be convex bodies containing the origin in their interior. The p -sum $K +_p L$ is the unique convex body such that

$$h_{K+_p L}(u) = (h_K(u)^p + h_L(u)^p)^{1/p}.$$

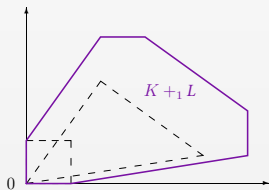


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- $p = 1$: $K +_1 L = K + L$ (Minkowski addition).

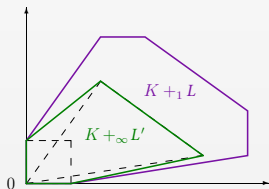


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- $p = \infty$: $K +_\infty L = \text{conv}(K \cup L)$ (convex hull).

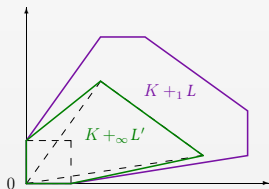


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- $p = \infty$: $K +_\infty L = \text{conv}(K \cup L)$ (convex hull).
- For $1 \leq p \leq q \leq \infty$, $K +_q L \subset K +_p L$.



The p -addition. The L_p -Brunn-Minkowski inequality

Firey, 1962:

The L_p -Brunn-Minkowski inequality

Let $K, L \subset \mathbb{R}^n$ be convex bodies containing the origin in their interior, $\lambda \in (0, 1)$ and $p \geq 1$. Then

$$\text{vol}((1 - \lambda) \cdot K +_p \lambda \cdot L)^{p/n} \geq (1 - \lambda)\text{vol}(K)^{p/n} + \lambda\text{vol}(L)^{p/n}.$$

Here, for $r > 0$, $r \cdot K = r^{1/p}K$ is the p -scalar product. Then

$$h_{(1-\lambda) \cdot K +_p \lambda \cdot L}(u) = \left((1 - \lambda)h_K(u)^p + \lambda h_L(u)^p \right)^{1/p}$$

The p -addition. What about $0 \leq p < 1$?

The definition of p -sum,

$$h_{K+{}_pL}(u) = (h_K(u)^p + h_L(u)^p)^{1/p},$$

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is problematic when $p < 1$: it fails because the p -sum of support functions is **no longer the support function of a convex body**.

How can the p -addition be defined when $p < 1$?

On discrete Brunn-Minkowski type inequalities

└ The L_p -Brunn-Minkowski theory

└ What about $0 \leq p < 1$?

The p -addition. What about $0 \leq p < 1$?

Any convex body K can be expressed as

$$K = \bigcap_{u \in \mathbb{S}^{n-1}} \left\{ x \in \mathbb{R}^n : \langle x, u \rangle \leq h_K(u) \right\}$$

The p -addition. What about $0 \leq p < 1$?

The Wulff-shape

Let $f : \mathbb{S}^{n-1} \rightarrow \mathbb{R}_{\geq 0}^n$ be a continuous function. The **Wulff-shape** of f is the set

$$\mathcal{W}(f) = \bigcap_{u \in \mathbb{S}^{n-1}} \{x \in \mathbb{R}^n : \langle x, u \rangle \leq f(u)\}.$$

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Thus, for convex bodies $K, L \subset \mathbb{R}^n$ containing the origin, all $\lambda \in (0, 1)$ and any $p \geq 1$,

$$(1 - \lambda) \cdot K +_p \lambda \cdot L = \mathcal{W}\left(\left((1 - \lambda)h_K^p + \lambda h_L^p\right)^{1/p}\right).$$

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Definition

For $K, L \subset \mathbb{R}^n$ convex bodies containing 0, $\lambda \in (0, 1)$ and $0 \leq p < 1$,

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with

$$(1 - \lambda) \cdot K +_0 \lambda \cdot L = \mathcal{W}\left(h_K^{1-\lambda} h_L^\lambda\right).$$

The log-Brunn-Minkowski inequality

Böröczky, Lutwak, Yang, Zhang, 2012:

Conjecture: The log-Brunn-Minkowski inequality

Let $K, L \subset \mathbb{R}^n$ be 0-symmetric convex bodies, and let $\lambda \in (0, 1)$. Then

$$\text{vol}((1 - \lambda) \cdot K +_0 \lambda \cdot L) \geq \text{vol}(K)^{1-\lambda} \text{vol}(L)^\lambda.$$

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Conjecture: The log-Brunn-Minkowski inequality

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$$\text{vol}((1 - \lambda) \cdot K +_0 \lambda \cdot L) \geq \text{vol}(K)^{1-\lambda} \text{vol}(L)^\lambda.$$

More generally, one can pose the following

General conjecture

Let $K, L \subset \mathbb{R}^n$ be 0-symmetric convex bodies, $0 \leq p < 1$ and $\lambda \in (0, 1)$. Then

$$\text{vol}((1 - \lambda) \cdot K +_p \lambda \cdot L) \geq \left((1 - \lambda) \text{vol}(K)^{p/n} + \lambda \text{vol}(L)^{p/n} \right)^{n/p}.$$

On discrete Brunn-Minkowski type inequalities

└ Discrete versions of the L_p -Brunn-Minkowski inequalities

└ When $p \geq 1$

A discrete L_p -Brunn-Minkowski inequality ($p \geq 1$)

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H. C., Lucas, Yepes Nicolás, 2021

Let $K, L \subset \mathbb{R}^n$ be bounded sets and let $p \geq 1$. Then, for all $\lambda \in (0, 1)$,

$$G_n\left((1-\lambda) \cdot K +_p \lambda \cdot L + (-1, 1)^n\right)^{p/n} \geq (1-\lambda)G_n(K)^{p/n} + \lambda G_n(L)^{p/n}.$$

The inequality is sharp: the cubes $[0, m]^n$ gives equality.

A discrete L_p -Brunn-Minkowski inequality ($p \geq 1$)

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A discrete L_p -Brunn-Minkowski inequality ($p \geq 1$)**H. C., Lucas, Yepes Nicolás, 2021**

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- The case of $p = 1$ corresponds to the inequality of **Iglesias, Yepes Nicolás & Zvavitch, 2020**.
- The discrete L_p Brunn-Minkowski type inequality implies the classical L_p -Brunn-Minkowski inequality for n -dimensional compact sets

$$\text{vol}\left((1 - \lambda) \cdot K +_p \lambda \cdot L\right) \geq \left((1 - \lambda)\text{vol}(K)^{p/n} + \lambda\text{vol}(L)^{p/n}\right)^{n/p}.$$

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A couple of further comments:

- The cube $(-1, 1)^n$ cannot be, in general, reduced by means of a smaller cube $(-1, a]^n$, $a < 1$ (just take $K = [0, 1]$, $L = [0, 2] \subset \mathbb{R}$).

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- Recall that $K +_p L \subset K +_1 L$ for all $p \geq 1$, and so

$$G_n\left((1-\lambda) \cdot K +_p \lambda \cdot L +_p (-1, 1)^n\right)^{p/n} \geq (1-\lambda)G_n(K)^{p/n} + \lambda G_n(L)^{p/n}$$

would be a better inequality.

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would be a better inequality.

However, **the Minkowski sum of the cube $(-1, 1)^n$ cannot be replaced by its p -sum** (just take $K = [0, 1]$, $L = [0, 2] \subset \mathbb{R}$, $p = 2$ and $\lambda = \frac{1}{2}$.)

A discrete log-Brunn-Minkowski inequality

H. C., Lucas, 2021

Let $K, L \subset \mathbb{R}^n$ be 0-symmetric convex bodies and let $\lambda \in (0, 1)$. We write $C_n = [-\frac{1}{2}, \frac{1}{2}]^n$. If either K, L are unconditional convex bodies or $n = 2$, then

$$G_n\left((1 - \lambda) \cdot (K + C_n) + \lambda \cdot (L + C_n) + \left(-\frac{1}{2}, \frac{1}{2}\right)^n\right) \geq G_n(K)^{1-\lambda} G_n(L)^\lambda.$$

The inequality is sharp.

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The inequality is sharp.

It implies the log-Brunn-Minkowski inequality

$$\text{vol}\left((1 - \lambda) \cdot K +_0 \lambda \cdot L\right) \geq \text{vol}(K)^{1-\lambda} \text{vol}(L)^\lambda$$

both for unconditional convex bodies and for $n = 2$.

On discrete Brunn-Minkowski type inequalities

└ Discrete versions of the L_p -Brunn-Minkowski inequalities

└ When $p = 0$

A discrete log-Brunn-Minkowski inequality

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A discrete log-Brunn-Minkowski inequality

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A few further comments:

- The cube C_n Minkowski-added to K and L cannot be, in general, avoided; not even summing up a bigger cube $(-\beta, \beta)^n$, $\beta > \frac{1}{2}$.

A discrete log-Brunn-Minkowski inequality

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A few further comments:

- The cube C_n Minkowski-added to K and L cannot be, in general, avoided; not even summing up a bigger cube $(-\beta, \beta)^n$, $\beta > \frac{1}{2}$.
- Similarly, the Minkowski addition of $(-\frac{1}{2}, \frac{1}{2})^n$ is necessary.

A discrete L_p -Brunn-Minkowski inequality ($p < 1$)

H. C., Lucas, 2021

Let $K, L \subset \mathbb{R}^n$ be unconditional convex bodies and let $\lambda \in (0, 1)$. We write $C_n = [-\frac{1}{2}, \frac{1}{2}]^n$. Then, for any $0 < p < 1$,

$$G_n \left((1 - \lambda) \cdot (K + C_n) +_p \lambda \cdot (L + C_n) + \left(-\frac{1}{2}, \frac{1}{2}\right)^n \right)^{p/n} \\ \geq (1 - \lambda)G_n(K)^{p/n} + \lambda G_n(L)^{p/n}.$$

It implies the corresponding L_p -Brunn-Minkowski inequality for unconditional convex bodies.

The End

Thank you for your attention!!